

4TH QUARTER 2020

Pershing At a Glance

30+ Years

OF EXPERTISE AND EXCELLENCE IN EMEA

1 Goal

YOUR SUCCESS

7 Locations

SUPPORTING OUR CLIENTS IN EMEA

AUC/A

\$2.0T

PERSHING GLOBAL ASSETS

AUC/A

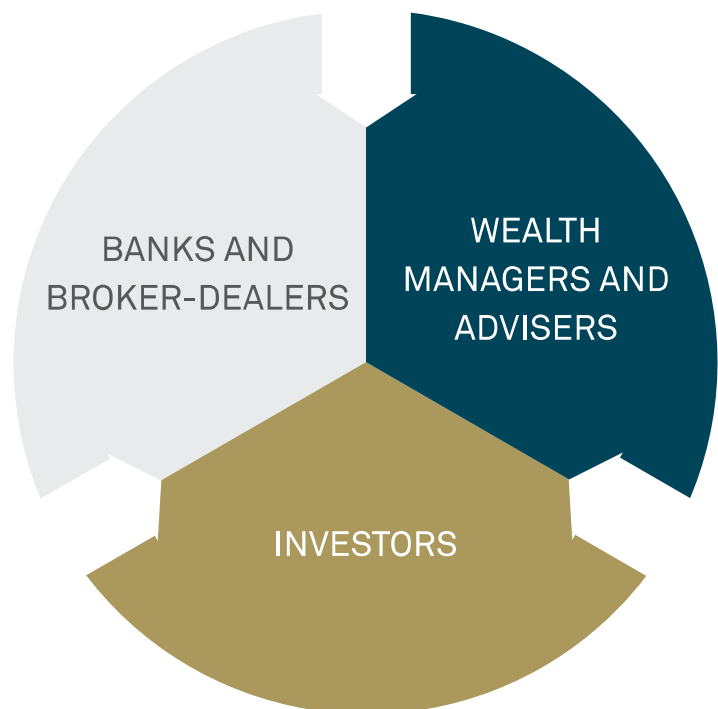
\$41.1T

BNY MELLON GLOBAL ASSETS

INVESTED IN OUR CLIENTS

BNY Mellon's Pershing provides a broad range of financial business solutions to investment banks, broker-dealers, wealth managers, financial planners and advisers across EMEA. Our core solutions include sophisticated front-end technology and flexible middle-office capabilities with execution, settlement and custody services. These are supported by a robust regulatory and compliance framework with dedicated client asset experience and expertise. By supporting wealth managers and advisers behind the scenes, Pershing provides them with the means to help their investors achieve their financial goals.

Find out more at pershing.com/emea



* As of 31 December 2020

Together Transforming Your Business

Management expertise throughout the trade lifecycle, from execution to custody



CLEARING, SETTLEMENT AND CUSTODY

- Scalable middle and back-office services
- Clearing and settlement of on-exchange and OTC global equities, ETFs, funds, bonds and fixed income across 40+ markets
- Fails Coverage capabilities
- UK and international non-disclosed and fully disclosed custody services



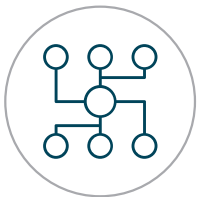
TRADING SERVICES

- Independent, unconflicted agency broker
- Multi-asset trading in global markets with the expertise of our traders and execution consultants
- Electronic equities trading with integrated STP settlement
- Advanced algorithmic and smart order routing technology



INVESTMENT AND PLATFORM SOLUTIONS

- Full suite of tax wrappers including ISAs, JISAs
- Powerful portfolio and relationship management
- Configurable SIPP solution to suit your business model
- Clear and detailed valuation reports
- MiFID II reporting solutions



TECHNOLOGY

- Flexible open architecture solution, you decide how you want to consume our services
- **Tough-tech:** resilient, reliable, secured, benefiting from the technology robustness of a G-SIB
- Interfaces: integrated professional portal, investor portal and API interfaces including web services

Focusing on Your Success

Focus on growing your business

Future-proof your operations and technology

Pay for what you use and reduce operational costs

Lessen the weight of regulatory requirements

Alleviate the burden of liquidity and capital requirements

Benefit from the strength of our balance sheet under a model B arrangement and reduce capital requirements

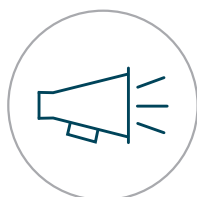
Bringing You Certainty and Stability



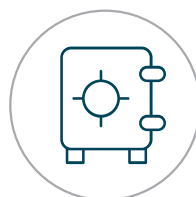
Strength of our parent BNY Mellon: AA-rated bank* and one of the world's largest custodians



Expertise in regulatory compliance: helping you navigate the regulatory maze



Stronger voice in the market: shape and improve the industry by working closely with regulators of different jurisdictions



Protection of client assets: an integral part of our service bringing you safety and certainty



Robust technology, resilient connectivity: proven and tested to give our clients peace of mind

*Fitch Ratings as of 30 June 2020

Award Winning Solutions

Schroders UK Platform Award 2020: Best Strategic Platform Award

WealthBriefing European Awards 2020: Best Global Custodian to Private Client Businesses

WealthBriefing European Awards 2019: Best Global Custodian to Private Client Businesses

Schroders UK Platform Awards 2018: Best Strategic Platform Award



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ABOUT BNY MELLON

BNY Mellon is a global investments company dedicated to helping its clients manage and service their financial assets throughout the investment lifecycle. Whether providing financial services for institutions, corporations or individual investors, BNY Mellon delivers informed investment and wealth management and investment services in 35 countries. As of December 31, 2020, BNY Mellon had \$41.1 trillion in assets under custody and/or administration, and \$2.2 trillion in assets under management. BNY Mellon can act as a single point of contact for clients looking to create, trade, hold, manage, service, distribute or restructure investments. BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation (NYSE: BK). Additional information is available on www.bnymellon.com. Follow us on Twitter @BNYMellon or visit our newsroom at www.bnymellon.com/newsroom for the latest company news.

ABOUT BNY MELLON'S PERSHING

BNY Mellon's Pershing and its affiliates provide a comprehensive network of global financial business solutions to advisors, broker-dealers, family offices, hedge fund and '40 Act fund managers, registered investment advisor firms and wealth managers. Many of the world's most sophisticated and successful financial services firms rely on Pershing for clearing and custody; investment, wealth and retirement solutions; technology and enterprise data management; trading services; prime brokerage and business consulting. Pershing helps clients improve profitability and drive growth, create capacity and efficiency, attract and retain talent, and manage risk and regulation. With a network of offices worldwide, Pershing provides business-to-business solutions to clients representing over 7 million global investor accounts. Pershing LLC (member FINRA, NYSE, SIPC) is a BNY Mellon company. Additional information is available on pershing.com, or follow us on Twitter @Pershing.

ABOUT PERSHING LIMITED

BNY Mellon's Pershing Limited and its subsidiaries provide broker-dealers, asset managers, intermediary firms, IFAs, and financial institutions across EMEA with a comprehensive range of products, services, and solutions including fully disclosed custody, clearing and settlement for wealth managers and advisers as well as institutional global clearing and trading services. Established in London in 1987, Pershing Limited and its subsidiary, Pershing Securities Limited, are members of the London Stock Exchange and Euroclear UK & Ireland. Pershing Limited has memberships with LCH Limited (EquityClear®). Pershing Securities Limited is a member of various markets and clearing houses including, LCH SA and EuroCCP. Pershing Limited is an affiliate of Pershing LLC, a leading global provider of financial business solutions to institutional and retail financial organisations and independent investment advisers. Pershing LLC (member FINRA/ NYSE/SIPC) is a member of every major US securities exchange, and both are subsidiaries of The Bank of New York Mellon Corporation. Additional information is available at pershing.com/emea.

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