LPL BY THE NUMBERS



FORTUNE

AN INDUSTRY LEADER LPL was founded in 1989



Financial professionals serviced

INDEPENDENT **BROKER/DEALER** In the United States²

PROVIDER OF #' 3rd-party investment

services to banks and credit unions³

RIA CUSTODIAN In the United States⁴



LPL ACROSS AMERICA

LPL has home offices in Austin, Boston, Fort Mill, San Diego, and financial professionals located in all 50 states



Ranked no. 466

on the Fortune

500 List¹

SERVING INVESTOR ACCOUNTS

ЬV Client accounts serviced



Brokerage and advisory client assets, serviced or custodied



Access to your account information via our mobile app and portal

1



INVESTMENT OPTIONS TO ADDRESS YOUR NEEDS



ZERO PROPRIETARY PRODUCTS WITH THOUSANDS OF INVESTMENT OPTIONS

Access to a breadth of investment solutions-from mutual funds to bonds to alternative investments-and fee-based investment programs that your financial professional can leverage to craft your customized portfolio

Data as of May 31, 2021

- Fortune 500 ranks U.S. companies based on a review of the prior year's total revenue and 1
- factors such as profits after taxes, year-end assets and total stockholders' equity. 2 As reported by Financial Planning magazine, June 1996-2020, based on total revenue.
- 2020/2021 Kehrer Bielan TPM Survey. Based on financial institution market share. 3

Cerulli Associates, 2019 U.S. RIA Marketplace Report 4

TLPL Financial

LPL BY THE NUMBERS

SUSTAINABLE INVESTING WITH LPL

Sustainable investing is investing with the intention of generating a positive ESG impact alongside a financial return. LPL supports the growth and development of sustainable investing practices by providing education, insights, research, and solutions.

Sustainable mutual fund options

11

Sustainable exchange-traded fund options

PROTECTION YOU CAN RELY ON

DEDICATED ANNUAL BUDGET

We invest in cybersecurity and data privacy to keep you and your data protected from cyberattacks

Risk & compliance experts provide you guidance and oversight



Cybersecurity experts to keep you and your clients protected

GIVING BACK TO OUR COMMUNITIES

\$1.7M

In charitable donations in 2020: The LPL Financial Foundation provides direct financial support to charitable organizations, offer matching gifts for LPL financial professional and employee contributions, and coordinate ongoing employee giving and volunteer activities

Foundation

This material was prepared by LPL Financial, LLC.

Securities and advisory services offered through LPL Financial (LPL), a registered investment advisor and broker-dealer (member FINRA/SIPC). Insurance products are offered through LPL or its licensed affiliates. To the extent you are receiving investment advice from a separately registered independent investment advisor that is not an LPL Financial affiliate, please note LPL Financial makes no representation with respect to such entity.

If your financial professional is located at a bank or credit union, please note that the bank/credit union is not registered as a broker-dealer or investment advisor. Registered representatives of LPL may also be employees of the bank/credit union. These products and services are being offered through LPL or its affiliates, which are separate entities from, and not affiliates of, the bank/credit union. Securities and insurance offered through LPL or its affiliates are:

Not Insured by FDIC/NCUA or Any Other Government Agency Not Bank/Credit Union Guaranteed	Not Bank/Credit Union Deposits or Obligations	May Lose Value
---	--	----------------

LPL Financial representatives offer access to trust services through The Private Trust Company N.A., an affiliate of LPL Financial, a registered investment advisor. Member FINRA/SIPC.

